

SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI) FORM

證券交收指示 / 投資者交收指示 (Participant ID 交易所參與者編號: B01694)

A/C No. 賬戶號碼: _____ Date: _____

Client Name/客戶名稱: _____ Ref. No.: _____

Please receive the following securities for my/our above securities account 請將下列證券收收到本人/吾等之上述賬戶
 Please deliver the following securities from my/our above securities account 請將下列證券由本人/吾等之上述賬戶交出
 and input the following Settlement Instructions (SI) / Investor Settlement Instruction (ISI) into CCASS with details as follows:
 並根據下列資料將交收指示 / 投資者交收指示輸入中央結算系統：

Stock Code 股票代號	Stock Name 股票名稱	Quantity 數量	Settlement Amount\$(for DVP) 交易金額 (港幣) (貨銀對付適用)

COUNTERPARTY 交收對手
 My/counterparty Name 本人/交收對手名稱 : _____
 My/Counterparty CCASS Participant ID : _____
 本人/交收對手之中央結算系統參與者編號 : _____
 Securities Account No 賬戶號碼 : _____
 Telephone No. 電話號碼 : _____
 Settlement Date 交收日期 : _____

PAYMENT INSTRUCTION 付款指示
 Free Of Payment 無需付款交收
 Delivery / Receipt Versus Payment (DVP)
 貨銀對付交收 (Settlement Amount 交收金額: _____)

PURPOSE OF SETTLEMENT INSTRUCTION 交收指示目的
 Broker-custodian Settlement Portfolio Movement

 Client's Signature/客戶簽署

 A.E.'s/Rep's Signature/客戶主任/代表經紀簽署

**** (For Office Use Only)****

 Signature Verified/核對簽名

 Approved/核准

 CCASS Inputted/輸入

 CCASS Authorized/批核

Date/日期 :

Date/日期 :

Date/日期 :

Date/日期 :